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Docket No. 200097US2



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12-205
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10-1703

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiromichi ATSUUMI, et al.

SERIAL NO: 09/716,949

GAU: 2872

FILED: November 22, 2000

EXAMINER: PHAN, James

FOR: OPTICAL SCANNING DEVICE HAVING A TEMPERATURE COMPENSATION UNIT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) which may be related to the present application. A copy of the claims and drawings of the pending application(s) is attached.
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CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☒ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Adjustment date: 03/05/2004 SDIRETA1
11/03/2003 PBRITTON 00000004 150030 09716949
01 FC:1806 180.00 DA



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

GJM:PJCS:tdm
I:\ATTYPJCS\200097\IDS TRANSMITTAL.DOC

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Handwritten signature: P.J. Maier

Gregory J. Maier
Attorney of Record
Registration No. 24,913
Philippe J.C. Signore, Ph.D.
Registration No. 43,922

11/03/2003 PBRITTON 00000004 150030 09716949
01 FC:1806 180.00 DA

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Docket No. 200097US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiromichi ATSUUMI, et al.

SERIAL NO: 09/716,949

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FILED: November 22, 2000

EXAMINER: PHAN, J.

FOR: OPTICAL SCANNING DEVICE HAVING A TEMPERATURE COMPENSATION UNIT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

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03/05/2004 PERITTON 00000003 150030 09716949

FC:1806 180.00 CR



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 03/02)
H:\USER\DNFRA\20 CASES\200097 IDS RELATED.DOC

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Joseph A. Scafetta Jr.
Gregory J. Maier

Registration No. 25,599

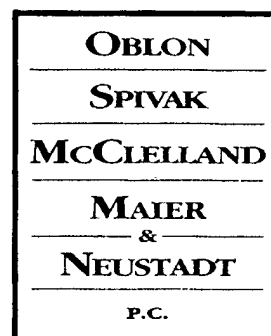
Joseph A. Scafetta, Jr.

Registration No. 26,803

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Adjustment date: 03/05/2004 SDIRETA1
11/03/2003 PERITTON 00000003 150030 09716949
01 FC:1806 180.00 CR

December 4, 2003



UNITED STATES PATENT AND TRADEMARK OFFICE
Box 16
Washington, DC 20231

Attn: Frank Lebron
Refund Department

ATTORNEYS AT LAW

KATHLEEN A. MORSBERGER
CONTROLLER
(703) 412-6494
KMORSBERGER@OBLON.COM

Re: Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our Deposit Account Statement of November, 2003. Please review the two highlighted charges on **Serial Number 09/716,949** in the amounts of **\$180.00 each** on fee code #1806.

A **CERTIFIED** Information Disclosure Statement was filed on May 15, 2003. Since this application was not yet under final rejection at that time, **no fee is required as set forth in 37 CFR 1.97**. An IDS was also filed on September 22, 2003. **The applications listed were to be made of record and were NOT to be considered by the Examiner. Therefore, the \$180.00 should not have been deducted from our account and in fact the PTO WAS NOT AUTHORIZED TO DO SO (deposit account statement disclaimer on IDS cover sheet was not checked).**

Please investigate these charges and kindly refund \$360.00 to Deposit Account #150030.

Copies of the appropriate paperwork are attached. If you have questions, please do not hesitate to contact me. My phone number is 703-412-6296. Thank you for your assistance.

Sincerely,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

A handwritten signature in cursive script that reads "Debra J. Noel".

Debra J. Noel
Accounting Department

Enclosures



**United States
Patent and
Trademark Office**

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Page**Deposit Account Statement**

Requested Statement Month: November 2003
 Deposit Account Number: 150030
 Name: NORMAN F. OBLON
 Attention:
 Address: 1940 DUKE STREET
 City: ALEXANDRIA
 State: VA
 Zip: 22314

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BA
11/03	1	10398202	236232US0PCT	1617	-\$130.00	\$28
11/03	1	10015634	217391US2	1806	\$180.00	\$28
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11/03	142		231340US130PROVGET	8007	\$20.00	\$28
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11/04	2	10172910	224503US3	1806	\$180.00	\$28
11/04	45	09782315	203226US0DIV	1806	-\$180.00	\$28
11/04	49	60423393	243613WOTEMFF	8007	\$40.00	\$28
11/04	97	08848077	244189US25INT	8013	\$25.00	\$28
11/04	98	08848077	244189US25INT	8013	\$25.00	\$28
11/04	99	08848077	244189US25INT	8013	\$25.00	\$28
11/04	102	09764370	244189US25INT	8013	\$25.00	\$28
11/04	103	09764370	244189US25INT	8013	\$25.00	\$28
11/04	341	10432262	236629US90PCT	1617	\$130.00	\$28

OSMM&N File No. 200097US2

Dept.: E/M

Serial No. 09/716,949

By: GJM/PJCS/ldm

In the matter of the Application of: Hiromichi ATSUUMI, et al.

For: OPTICAL SCANNING DEVICE HAVING A TEMPERATURE
COMPENSATION UNIT

Due Date: May 28, 2003

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- IDS/Related/List of Related Cases
- Cited Pending Applications 1



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Docket No. 200097US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiromichi ATSUUMI, et al.

SERIAL NO: 09/716,949

GAU: 2872

FILED: November 22, 2000

EXAMINER: PHAN, James

FOR: OPTICAL SCANNING DEVICE HAVING A TEMPERATURE COMPENSATION UNIT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

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DEPOSIT ACCOUNT

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Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

GJM:PJCS:tdm
I:\ATTY\PJCS\200097\IDS TRANSMITTAL.DOC

Gregory J. Maier
Attorney of Record
Registration No. 24,913
Philippe J.C. Signore, Ph.D.
Registration No. 43,922

OSMM&N File No. 200097US2

Dept.: E/M

By: GJM/PJS/mlm

Serial No. 09/716,949

In the matter of the Application of: Hiromichi ATSUUMI, et al.

For: OPTICAL SCANNING DEVICE HAVING A TEMPERATURE
COMPENSATION UNIT

Due Date: 9/21/03

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Check for \$110.00
- Amendment Cover Sheet
- Amendment
- Petition for Extension of Time 1 month
- Dep. Acct. Order Form
- IDS/Related/List of Related Cases
- Cited Pending Applications 1

I:\ATTYPJCS\0557.PJCS\200097US\200097.FR2.DOC



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IN RE APPLICATION OF: Hiromichi ATSUUMI, et al.

SERIAL NO: 09/716,949

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FILED: November 22, 2000

EXAMINER: James Phan

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Philippe J.C. Signore, Ph.D.

Registration No. 43,922

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)
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